

# DHCS Local Assistance Funding Matrix (Fiscal Year 2017-18)

Information included in this matrix does not relieve counties of any monetary match requirements mandated by State or Federal Law. The State cautions counties and providers that violating State and Federal laws and regulations may jeopardize future Substance Use Disorder (SUD) funding levels. Federal (SAPT Block Grant) Law prohibits the supplantation of County Realignment Funds with Federal Funds.

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
<b>Federal Local Assistance dollars</b>			
Substance Abuse Prevention and Treatment (SAPT) Discretionary	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	These funds may be used for a wide range of activities to prevent and treat substance use disorder and deal with the abuse of alcohol, the use or abuse of illicit drugs, and preventing the use or abuse of tobacco products.	<ul style="list-style-type: none"> <li>- See "Document 3Ta" for further SAPT restrictions</li> <li>- Can be used on loans for Resident Run Housing programs.</li> <li>- Cannot be used for stand alone tobacco treatment services.</li> </ul>

Funding Source	Authority	Summary of Allowable Expenses	Summary of Restrictions/ Not Allowable
SAPT Adolescent/Youth Treatment	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	Funding used to provide comprehensive, age-appropriate SUD services to youth.	<ul style="list-style-type: none"> <li>- Not for Primary Prevention and Driving Under-the-Influence.</li> <li>- Youth Treatment Guidelines are designed for counties to use in developing and implementing youth treatment programs funded by this funding source. The use of the Youth Treatment Guidelines is voluntary.</li> </ul>
SAPT Primary Prevention Set-Aside	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	This funding is used for the following services: Information Dissemination, Education, Alternatives, Problem Identification and Referral, Community-Based Process, and Environmental Services (refer to Service Code Description document for detailed information)	<ul style="list-style-type: none"> <li>- Funding cannot be expended on early intervention services.</li> </ul>
SAPT Friday Night Live (FNL) Program and SAPT Club Live Program	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	Funding used to prevent alcohol and drug use among teenagers and middle school-age students through activities including community action, assemblies, and leadership training.	Funding must be used in accordance with the six Primary Prevention Strategies

<b>Funding Source</b>	<b>Authority</b>	<b>Summary of Allowable Expenses</b>	<b>Summary of Restrictions/ Not Allowable</b>
SAPT Perinatal Set-Aside	<ul style="list-style-type: none"> <li>- Title 42, Chapter 6A, Subchapter XVII, Part B, Subpart ii, Sections 300x-21 through Section 300x-66</li> <li>- HSC 11754</li> <li>- CFR 45, Subtitle A, Part 96, Subpart L, Sections 96.120 through 96.137</li> </ul>	Funding used to increase the availability of treatment services for pregnant women and women with dependent children only. Funding can be used to expand capacity, add new perinatal programs/services, and/or change existing programs.	<ul style="list-style-type: none"> <li>- SAPT funds cannot be used to supplant other funds for existing perinatal services.</li> <li>- Subject to Perinatal Services Network Guidelines</li> </ul>
Federal Financial Participation (FFP) for Drug Medi-Cal (DMC) (Non-Perinatal and Perinatal)	<ul style="list-style-type: none"> <li>- Title XIX and Title XXI, (Social Security Act), California Medicaid State Plan, and CCR, Division 3, Title 22</li> <li>- Health Care Financing and Administration (HCFA-15)</li> </ul>	This funding is allowed for services as identified in CCR, Title 22, Section 51341.1 and HCFA-15	<ul style="list-style-type: none"> <li>- Matchable to non-Federal and county realignment funds only for DMC services..</li> <li>- This funding is not allowed for Minor Consent clients with aid codes 7M, 7N, or 7P, or CalWorks clients with aid code R1</li> </ul>

<b>State General Fund Local Assistance</b>			
State General Fund for Drug Medi-Cal	FY 2014-15 Budget Act Allocation	This funding is allowed for services as identified in CCR, Title 22, Section 51341.1, specifically only for Intensive Outpatient Treatment services for the general population (non-pregnant/postpartum or EPSDT) for services after January 1, 2014.	<ul style="list-style-type: none"> <li>- Matchable to Federal funds for DMC services.</li> <li>- Only allowed for Intensive Outpatient Treatment services for the general population (non-pregnant/postpartum or EPSDT) for services after January 1, 2014.</li> </ul>

## County Realignment Funds

Substance Use Disorder Behavioral Health Subaccount (BHS)	FY 2014-15 State Budget and accompanying law (Chapter 40, Statutes of 2012)	<p>These funds are used for the cost of alcohol and drug treatment and prevention services.</p> <ul style="list-style-type: none"> <li>- This funding is allowed for services as identified in CCR, Title 22, Section 51341.1.</li> <li>- These funds can be used for Perinatal programs serving populations of pregnant and postpartum women and their infants and parenting women and their children through age 17.</li> <li>- This funding can be used to backfill DMC overages (costs that exceed the DMC rate cap.)</li> <li>- These funds can be used for drug court services as original approved under the Drug Court Partnership program, Dependency Drug Court program, and the Comprehensive Drug Court Implementation program.</li> </ul>	<ul style="list-style-type: none"> <li>- Under DMC for Minor Consent clients (Aid Codes 7M, 7N, and 7P) and CalWorks clients (aid code R1), services are reimbursed 100% using BHS funds.</li> <li>- BHS match to DMC funding for Perinatal Services can only be used for services as defined in Title 22 for pregnant or 60-day postpartum women</li> <li>- If county population is less than 20,000, BHS funding can be used for DUI programs</li> <li>- Subject to Perinatal Services Network Guidelines if used for perinatal services</li> <li>- Restricted to activities as previously outlined for the various drug court programs.</li> </ul>
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<b>County Realignment Funds</b>			
Behavioral Health Subaccount - Women and Children's Residential Treatment Services Special Services Account	FY 2013-14 State Budget and accompanying law (Chapter 40, Statutes of 2012)	- These funds are for programs that provide residential treatment services to women and their children (formerly funded by a federal grant).	- Cannot be used to match the Federal share in the Drug Medi-Cal program - Alameda, Los Angeles, Marin, San Diego, San Francisco, San Joaquin, and San Luis Obispo counties only - Subject to Perinatal Services Network Guidelines
Criminal Justice and Rehabilitation Programs (AB 109 Funds)	AB 109	Substance abuse treatment services provided to offenders as outlined in AB 109	

<b>Non-Local Assistance State or Federal Dollars</b>			
Targeted Case Management-Medi-Cal Administrative Activity (TCM-MAA)	W&I Code, Sections 14132.44 and 14132.47	TCM funding information is for services to specific groups of Medi-Cal beneficiaries, one of which is alcohol and drug abusers. MAA funding is used to assist with the performance of administrative activities necessary for the proper and efficient administration of the Medi-Cal program. These funds are Medicaid, and DHS is the responsible single state agency for management of the claiming and cost reporting process.	- Providers who receive these funding sources are considered local governments and are under contract with DHCS and must submit annual cost report to DHCS. - Local government providers may subcontract with service providers. - Not allowed for Drug Medi-Cal Services.

Non-Local Assistance State or Federal Dollars			
Non-County Revenue	County operated revenue	This funding information is revenue from sources other than allocated or county-generated such as non-federal grant, donations, contributions, and third-party payments other than insurance.	Restrictions as defined by county
California Work Opportunity and Responsibility to Kids (CalWORKs)	Department of Social Services	These funds allocated from the Department of Social Services are to be used for CalWORKs recipients for whom substance abuse is a barrier to employment.	<ul style="list-style-type: none"> <li>- At the discretion of the County Welfare Directors based on need, these funds can be moved between CalWORKs substance abuse services and CalWORKs mental health services.</li> <li>- Cannot be used to match any federal funds.</li> </ul>
County Funds	HSC, Sections 11840 and 11840.1	These are funds provided by the county as administered by the County Alcohol and Drug Program Administrator.	Restrictions as defined by county
County/Provider Unrestricted Funds	County/provider generated revenue	This funding is used to identify how much the county and/or provider is using to cover DMC costs that exceed the DMC rate.	Restrictions as defined by county/provider

Non-Local Assistance State or Federal Dollars			
Fees	County generated revenue	These are fees that are collected from clients and expended for treatment services. Share of cost information should be reported as a fee. These revenues shall be used in support of additional alcohol and other drug services or facilities.	<ul style="list-style-type: none"> <li>- These fees cannot be used in Support Services for administrative, management and support functions as they relate to how the funding it identified in the budgets and cost reports under the Support Services modality. (HSC 11841).</li> <li>- Fees cannot be collected from Medi-Cal beneficiaries unless it is a share of cost.</li> </ul>
Insurance	County generated revenue	This funding is what is collected from third-party payors.	<ul style="list-style-type: none"> <li>- These fees cannot be used in Support Services (HSC 11841).</li> </ul>
PC 1463.25 (SB 920) and HS 11372.7 (SB 921)		This funding results from fines collected by the county for violations and convictions of alcohol/drug related offenses as required by SB 920 (Alcohol Abuse Education and Prevention Penalty Assessment) and SB 921 (Controlled Substance Abuse Penalty Fee).	<ul style="list-style-type: none"> <li>- A minimum of 33 percent collected shall be allocated to Primary Prevention programs.</li> <li>- These funds shall supplement and not supplant any local funds made available to support the county's alcohol and drug abuse education and prevention efforts.</li> </ul>

Non-Local Assistance State or Federal Dollars			
PC 1463.16 (Statham Funds)	Vehicle Code, Sections 23103, 23104, 23152, or 23153.	These court fines shall be used to encourage the development of privately operated programs before developing publicly operated programs at the local level, and development shall include upgrading facilities to promote SUD certification and licensing standards and to increase accessibility for handicapped persons.	<ul style="list-style-type: none"> <li>- Cannot be used for drug programs or as county match for drug programs.</li> <li>- These funds should be used for alcohol component of treatment program and only when that program is certified or has applied for certification.</li> </ul>
DUI Fees	HSC, Section 11837.3, Subsection A1	These are fees collected by the participants to provide for his or her DUI treatment services.	- There is a 5% cap of gross participant fees for the administration and monitoring of the DUI program. If cap exceeds 5%, a waiver from DHCS's DUI Program Branch is required for each fiscal year.
Penal Code 1000 Admin. Fees	AB 2710 initiated this program.	No statute or regulation that governs the allowable costs and restrictions on spending the fees that the county receives from the PC 1000 program, although counties may develop their own criteria.	Solely participant fees
Private Industries Council (PIC)	California Budget Act Authority	EDD funding used for substance abuse services	



## Website resources:

Title 42 (USC)	<a href="http://www.gpoaccess.gov/uscode/">http://www.gpoaccess.gov/uscode/</a>
Code of Federal Regulations (CFR)	<a href="http://www.gpoaccess.gov/cfr/index.html">http://www.gpoaccess.gov/cfr/index.html</a>
Code of California Regulations (CCR) Title 9	<a href="http://www.calregs.com">http://www.calregs.com</a> (Click on "List of CCR Titles")
CCR Title 9	<a href="http://www.calregs.com">http://www.calregs.com</a> (Click on "List of CCR Titles")
CCR Title 19	<a href="http://www.calregs.com">http://www.calregs.com</a> (Click on "List of CCR Titles")
California Budget Act Authority	<a href="http://govbud.dof.ca.gov/">http://govbud.dof.ca.gov/</a>
SDFSC No Child Left Behind Act (20 USC)	<a href="http://www.ed.gov/offices/OESE/SDFS">http://www.ed.gov/offices/OESE/SDFS</a>
Health and Safety Code (HSC)	<a href="http://www.leginfo.ca.gov/calaw.html">http://www.leginfo.ca.gov/calaw.html</a>
Vehicle Code	<a href="http://www.leginfo.ca.gov/calaw.html">http://www.leginfo.ca.gov/calaw.html</a>
CCR Title 22	<a href="http://www.calregs.com">http://www.calregs.com</a> (Click on "List of CCR Titles")
Health Care Financing and Administration (HCFA)	<a href="http://www.os.dhhs.gov/about/opdivs/hcfa.html">http://www.os.dhhs.gov/about/opdivs/hcfa.html</a>
W&I Code	<a href="http://www.leginfo.ca.gov/calaw.html">http://www.leginfo.ca.gov/calaw.html</a>

Document 3T - DHCS Local Assistance Funding Matrix